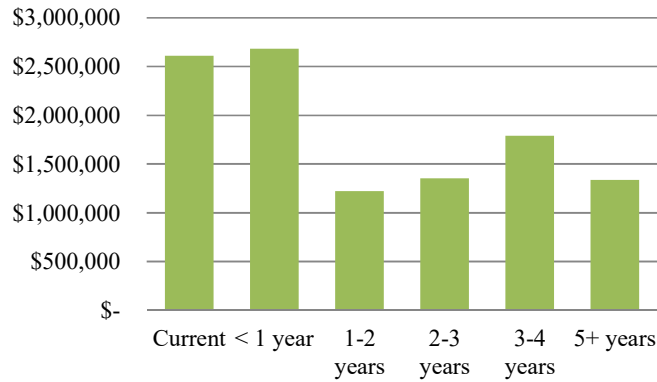


CITY OF ARDEN HILLS
SCHEDULE OF INVESTMENTS
FOR THE MONTH ENDING SEPTEMBER 30, 2019

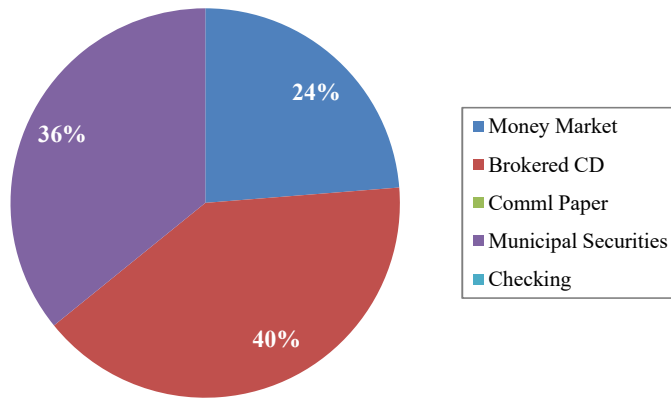
Institution	Description	Type	Market Value 1/1/2019	Deposits - Purchases	Expenditures - Sales	Transfers	Interest	Unadjusted Market Value 9/30/2019	Market Value 9/30/2019	Unrealized gain / loss
Wells Fargo - Sec	Wells Fargo Securities	Money Market	\$ 30,046.98	\$ 1,010,000.00	\$ -	\$ -	\$ 49,548.37	\$ 1,089,595.35	\$ 1,089,595.35	\$ -
Wells Fargo - Sec	Simmons Bank/Pine Bluff	Brokered CD	149,950.50	-	(150,000.00)	-	-	(49.50)	-	49.50
Wells Fargo - Sec	Douglass Cnty NE ISD	Municipal Securities	272,095.20	-	(270,000.00)	-	-	2,095.20	-	(2,095.20)
Wells Fargo - Sec	Milwaukee Cnty WI	Municipal Securities	230,901.75	-	-	-	-	230,901.75	226,343.25	(4,558.50)
Wells Fargo - Sec	Met Council Mpls-St Paul	Municipal Securities	146,952.00	-	-	-	-	146,952.00	150,130.50	3,178.50
Wells Fargo - Sec	Milwaukee Cnty WI	Municipal Securities	354,658.50	-	-	-	-	354,658.50	350,308.00	(4,350.50)
Wells Fargo - Sec	Oshkosh WI BAB	Municipal Securities	591,504.50	-	(590,000.00)	-	-	1,504.50	-	(1,504.50)
			1,776,109.43	1,010,000.00	(1,010,000.00)	-	49,548.37	1,825,657.80	1,816,377.10	(9,280.70)
Wells Fargo - Adv	Wells Fargo Advisors	Money Market	\$ 8,248.40	\$ 150,000.00	\$ (178,000.00)	\$ -	\$ 20,610.13	\$ 858.53	\$ 858.53	\$ -
Wells Fargo - Adv	Barclays Bk	Brokered CD	149,535.00	-	(150,000.00)	-	-	(465.00)	-	465.00
Wells Fargo - Adv	Worlds Foremost Bank	Brokered CD	198,896.00	-	(200,000.00)	-	-	(1,104.00)	-	1,104.00
Wells Fargo - Adv	State Bank of India	Brokered CD	239,436.00	-	-	-	-	239,436.00	240,597.60	1,161.60
Wells Fargo - Adv	Bank of Baroda	Brokered CD	-	171,000.00	-	-	-	171,000.00	171,159.03	159.03
Wells Fargo - Adv	Iberia Bank	Brokered CD	244,955.90	-	-	-	-	244,955.90	246,663.55	1,707.65
Wells Fargo - Adv	Hanmi Bank	Brokered CD	238,615.20	-	-	-	-	238,615.20	243,268.80	4,653.60
Wells Fargo - Adv	HSBC Bank	Brokered CD	-	207,000.00	-	-	-	207,000.00	207,424.35	424.35
			1,079,686.50	528,000.00	(528,000.00)	-	20,610.13	1,100,296.63	1,109,971.86	9,675.23
RBC	RBC	Money Market	-	904,000.00	(1,009,576.50)	-	105,576.50	-	-	-
RBC WEALTH	RBC Wealth	Money Market	14,488.76	-	(22,024.09)	-	7,535.33	0.00	0.00	0.00
RBC	Comenity Cap Bk	Brokered CD	246,213.69	-	(249,000.00)	-	-	(2,786.31)	-	2,786.31
RBC	Capital One Natl Assoc	Brokered CD	98,277.00	-	-	-	-	98,277.00	100,006.00	1,729.00
RBC	Capital One Bk USA	Brokered CD	98,952.00	-	-	-	-	98,952.00	100,378.00	1,426.00
RBC	Goldman Sachs Bk	Brokered CD	242,567.15	-	-	-	-	242,567.15	246,053.50	3,486.35
RBC WEALTH	Iroquois Fed Sav & Loan	Brokered CD	240,923.10	-	-	-	-	240,923.10	242,183.92	1,260.82
RBC	Security Bank	Brokered CD	247,600.62	-	-	-	-	247,600.62	252,331.62	4,731.00
RBC	Oregon Sch Brds Assn	Municipal Securities	319,790.85	-	-	-	-	319,790.85	333,452.85	13,662.00
RBC	Firstbank PR Santurce	Brokered CD	241,818.84	-	-	-	-	241,818.84	251,178.75	9,359.91
RBC	Raymond James Bank	Brokered CD	-	246,000.00	-	-	-	246,000.00	252,388.62	6,388.62
RBC	Northwestern Bank NA	Brokered CD	247,640.46	-	(249,000.00)	-	-	(1,359.54)	-	1,359.54
RBC	Sallie Mae Bk	Brokered CD	240,140.81	-	-	-	-	240,140.81	250,250.52	10,109.71
RBC	Wells Fargo Bk	Brokered CD	-	249,000.00	-	-	-	249,000.00	250,725.57	1,725.57
RBC	FHLB	Municipal Securities	369,108.30	-	-	-	-	369,108.30	370,151.70	1,043.40
RBC	City of NY, NY Tax GO	Municipal Securities	453,667.50	-	-	-	-	453,667.50	466,339.50	12,672.00
RBC WEALTH	FHLM	Municipal Securities	89,486.10	-	(90,000.00)	-	-	(513.90)	-	513.90
RBC	Citibank Nat'l Assoc	Brokered CD	-	246,000.00	-	-	-	246,000.00	253,645.68	7,645.68
RBC	Capital One Natl Assn McLean VA	Brokered CD	-	100,000.00	-	-	-	100,000.00	102,505.00	2,505.00
RBC WEALTH	Bank of Bluffs	Brokered CD	138,161.67	-	-	-	-	138,161.67	141,136.77	2,975.10
RBC	Minneapolis Minn Tax GO	Municipal Securities	552,079.00	-	(550,000.00)	-	-	2,079.00	-	(2,079.00)
RBC	JP Morgan Chase	Brokered CD	-	246,000.00	-	-	-	246,000.00	247,387.44	1,387.44
RBC	Capital One Bk USA	Brokered CD	-	100,000.00	-	-	-	100,000.00	103,255.00	3,255.00
RBC	Morgan Stanley Bk NA	Brokered CD	-	150,000.00	-	-	-	150,000.00	151,831.50	1,831.50
RBC WEALTH	Fed Farm Credit Bank	Municipal Securities	254,581.80	-	(255,000.00)	-	-	(418.20)	-	418.20
RBC WEALTH	Michigan City ISD	Municipal Securities	261,642.50	-	-	-	-	261,642.50	269,062.50	7,420.00
RBC WEALTH	Hawaii St GO Bds	Municipal Securities	401,954.00	-	-	-	-	401,954.00	423,174.50	21,220.50
RBC	FNMA	Municipal Securities	98,306.00	-	(100,000.00)	-	-	(1,694.00)	-	1,694.00
RBC	FNMA	Municipal Securities	249,305.00	-	(250,000.00)	-	-	(695.00)	-	695.00
RBC WEALTH	Wells Fargo Bk	Brokered CD	143,403.00	-	(150,000.00)	-	-	(6,597.00)	-	6,597.00
			5,250,108.15	2,241,000.00	(2,924,600.59)	-	113,111.83	4,679,619.39	4,807,438.94	127,819.55
Affinity Plus	Membership Savings	Money Market	383.44	-	-	-	2.86	386.30	386.30	-
Affinity Plus	60 Mo CD	Brokered CD	108,546.65	-	-	-	1,619.76	110,166.41	110,166.41	-
Affinity Plus	60 Mo CD	Brokered CD	275,950.22	-	-	-	4,117.80	280,068.02	280,068.02	-
Affinity Plus	Wahoo CD	Brokered CD	25,491.93	-	(25,492.42)	-	0.49	0.00	-	(0.00)
			410,372.24	-	(25,492.42)	-	5,740.91	390,620.73	390,620.73	(0.00)
PMA	4M General	Money Market	1,683,398.68	11,010,594.51	(11,705,952.98)	(750,000.00)	19,341.43	257,381.64	257,381.64	(0.00)
PMA	4MP General	Money Market	501,066.33	-	-	750,000.00	10,833.24	1,261,899.57	1,261,899.57	-
PMA	4M Payroll	Money Market	2.57	887,832.92	(887,851.98)	-	16.49	0.00	0.00	0.00
PMA	4M PIR Fund	Money Market	-	-	-	-	-	-	-	-
PMA	4M 2018A GO Bonds	Money Market	88.57	-	(88.58)	-	0.01	(0.00)	(0.00)	0.00
PMA	Term Series (369 days)	Municipal Securities	-	350,000.00	-	-	-	350,000.00	350,000.00	-
PMA	Term Series (90 days)	Municipal Securities	-	1,000,000.00	-	-	-	1,000,000.00	1,000,000.00	-
			2,184,556.15	13,248,427.43	(12,593,893.54)	-	30,191.17	2,869,281.21	2,869,281.21	(0.00)
Total cash and investments			\$ 10,700,832.47	\$ 17,027,427.43	\$ (17,081,986.55)	\$ -	\$ 219,202.41	\$ 10,865,475.76	\$ 10,993,689.84	\$ 128,214.08

CITY OF ARDEN HILLS
 SCHEDULE OF INVESTMENTS
 FOR THE MONTH ENDING SEPTEMBER 30, 2019

Maturities



Maturity	Unadjusted Market Value 9/30/2019	Market Value 9/30/2019	Variance 9/30/2019
Current	\$ 2,600,117.64	\$ 2,610,121.39	\$ 10,003.75
< 1 year	2,676,089.80	2,681,200.93	5,111.13
1-2 years	1,193,881.77	1,221,367.69	27,485.92
2-3 years	1,331,618.15	1,354,851.46	23,233.31
3-4 years	1,766,010.23	1,790,300.66	24,290.43
5+ years	1,297,758.17	1,335,847.71	38,089.54
	<u>\$ 10,865,475.76</u>	<u>\$ 10,993,689.84</u>	<u>\$ 128,214.08</u>
Weighted average Rate of return	2.39%	9/30/2019	
Average Maturity (years)	0.92	9/30/2019	



Investment Type	Market Value 9/30/2019
Money Market	\$ 2,610,121.39
Brokered CD	4,444,605.65
Comml Paper	-
Municipal Securities	3,938,962.80
Checking	-
	<u>\$ 10,993,689.84</u>